



DEPARTMENT OF HEALTH & HUMAN SERVICES

Substance Abuse and Mental  
Health Services Administration

Center for Mental Health Services  
Center for Substance Abuse  
Prevention  
Center for Substance Abuse  
Treatment  
Rockville MD 20857

JUN 30 2008

Mr. J. Kent Hunt  
Associate Commissioner for Substance Abuse  
Substance Abuse Services Division  
Alabama Department of Mental Health and  
Mental Retardation  
RSA Union Building  
100 North Union Street  
Montgomery, Alabama 36130-1410

Dear Mr. Hunt:

The Substance Abuse and Mental Health Services Administration's (SAMHSA) Center for Substance Abuse Treatment (CSAT) and Center for Substance Abuse Prevention (CSAP) invite you to apply for the Substance Abuse Prevention and Treatment (SAPT) Block Grant for Federal fiscal year (FY) 2009. Enclosed is a copy of the FY 2009 Uniform Application (0930-0080), which will serve as the application to the Secretary for the SAPT Block Grant, including (1) the Funding Agreements and Certifications; (2) the Annual Report; (3) the Intended Use (State) Plan; and (4) the treatment and prevention performance measures.

The FY 2009 Uniform Application is available electronically via the Web-Block Grant Application System (BGAS) (<http://www.bgas.samhsa.gov/2009>). A Microsoft Word version of the FY 2009 uniform application may be downloaded from either the Web-BGAS (<http://www.bgas.samhsa.gov/>) or CSAT's Treatment Improvement Exchange (<http://www.tie.samhsa.gov/sapt2009.html>). Please note that reporting requirements for the Tobacco Regulation for the Substance Abuse Prevention and Treatment Block Grant; Final Rule (0930-0222), Goal 8, Annual Synar Report (ASR) has been incorporated into the FY 2009 uniform application.

A copy of the authorizing legislation (42 U.S.C. 300x-21 *et seq*) and regulation (45 C.F.R. Part 96; 42 CFR Part 54) governing the SAPT Block Grant program is available on Web-BGAS under the Frequently Asked Questions section as well as CSAT's Treatment Improvement Exchange Website. The FY 2009 Justification of Estimates for Appropriations Committees (<http://www.samhsa.gov/Budget/FY2009/index.aspx>) includes a table of the State/Territory allotments for the FY 2009 SAPT Block Grant; however, FY 2009 appropriations for the Departments of Labor, Health and Human Services (HHS), and Education (ED) and related agencies are pending.

Upon enactment of the FY 2009 appropriations for Labor-ED-HHS and related agencies, a final allotment table for FY 2009 SAPT Block Grant will be sent to you and posted on CSAT's Treatment Improvement Exchange and Web-BGAS. In the interim, please use the FY 2009 SAPT Block Grant allocation as proposed in the President's FY 2009 budget for purposes of completing the FY 2008 Intended Use (State) Plan (Form 11). A copy of the estimated FY 2009 SAPT Block Grant allocations and the identification of "designated States" can be found in the FY 2009 uniform application, Appendix A.

As you may recall, during the December 2-3, 2004 meeting with SAMHSA and representatives of the National Association of State Alcohol and Drug Abuse Directors (NASADAD) and in subsequent dialogue regarding SAMHSA's National Outcome Measures, SAMHSA and the States developed consensus that the FY 2008 application would be the first year in which submission of the performance measurement data is mandatory. Therefore, you are required to report on the prevention and treatment performance measures in Section IV of the FY 2009 Uniform Application, and to identify any data challenges you may encounter so we can target SAMHSA's technical assistance resources to assist States with implementation of SAMHSA's National Outcome Measures. SAMHSA will pre-populate the performance measures for substance abuse prevention and treatment utilizing data from SAMHSA's 2007 National Survey on Drug Use and Health (NSDUH) and 2007 Treatment Episode Data Set (TEDS). States may choose to use the pre-populated data to fulfill their reporting requirements for all or some of these measures. You may also contact your CSAP and CSAT State project officers for specific information regarding the National Outcome Measures.

We anticipate the Office of Management and Budget (OMB) will notify SAMHSA of its intent to review the Substance Abuse Prevention and Treatment Program utilizing the Program Assessment Rating Tool (PART) (<http://www.expectmore.gov>) during FY 2009. A key element of PART is performance measures that focus on outcomes that reflect the purpose of the SAPT Block Grant Program; therefore, the quality and accuracy of substance abuse prevention and treatment performance data will be a key determinant in the overall PART score of the SAPT Block Grant Program.

To submit your FY 2009 Uniform Application to SAMHSA you must utilize the Web-BGAS portal. Technical assistance regarding the use of the on-line application is available from the Web-BGAS Help Desk. Mr. Stuart Sheck of Information Management Consultants, Inc., (IMC), an independent Federal contractor, is available by telephone help desk (1-888-301-2427) and electronic mail ([stuart.sheck@samhsa.hhs.gov](mailto:stuart.sheck@samhsa.hhs.gov)).

All States and Jurisdiction are required to execute the "Application Complete" function not later than Wednesday, October 1, 2008 at 11:59 p.m. EST. When a State or Jurisdiction executes the "Application Complete" function, the Web BGAS records "Application Completed by State User." This is SAMHSA's only evidence that a State or Jurisdiction has complied with the statutory requirement regarding the October 1 receipt date. The SAPT Block Grant Program is subject to an annual audit pursuant to OMB Circular A-123, "Management's Responsibility for Internal Controls," and one of the controls involves a review of how SAMHSA ensures States' compliance with the October 1 receipt date.

The FY 2009 Uniform Application must be received by SAMHSA's Division of Grants Management not later than October 1 of the Federal fiscal year for which the Block Grant funding is being requested, in order to ensure that States receive their respective FY 2009 Notice of Block Grant Award as soon as possible after the beginning of the Federal fiscal year, and to comply with the requirements of the Cash Management Improvement Act of 1990 (P.L. 101-453) and 45 C.F.R. Part 205.

The contact person for questions related to SAPT Block Grant business management issues is:

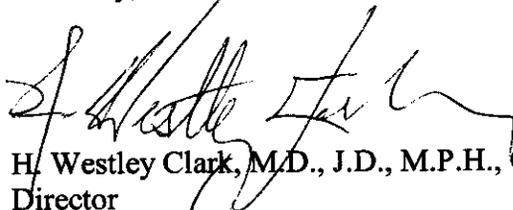
Barbara Orlando, M.S.  
Grants Management Specialist  
Formula Grants Team  
Office of Program Services, Division of Grants Management  
Substance Abuse and Mental Health Services Administration  
1 Choke Cherry Road, Room 7-1091  
Rockville, Maryland 20857  
TEL. (240) 276-1422

Please submit only the original signature document (and 2 additional copies) of the Funding Agreements (Form 3), Assurances Non-Construction Programs, and Certifications. Forwarding any paperwork relating to the FY 2009 Uniform Application to any other addressee results in processing delays; however, in the event the State forwards the uniform application via express/overnight mail an alternate address is required. To ensure express/overnight mail delivery, please use the following address:

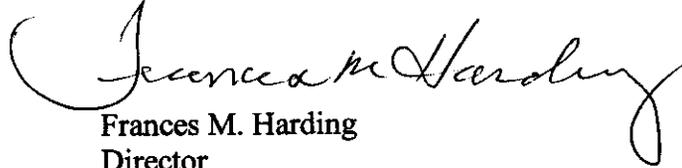
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Rockville, Maryland 20850  
Telephone: (240) 276-1422

Questions of a fiscal or programmatic nature should be directed to your respective State project officer within CSAT's Division of State and Community Assistance or CSAP's Division of State Programs. A directory of the CSAT and CSAP project officers can be found in the FY 2009 Uniform Application, Appendix A.

Sincerely,



H. Westley Clark, M.D., J.D., M.P.H., CAS, FASAM  
Director  
Center for Substance Abuse Treatment



Frances M. Harding  
Director  
Center for Substance Abuse Prevention

Enclosure

## Addresseess

Ms. Melissa Witzler-Stone (Alaska)  
Rodgers M. Wilson, M.D. (Arizona)  
Mr. Joe M. Hill (Arkansas)  
Renee Zito, L.M.S.U., C.A.S.A.C. (California)  
Janet R. Wood, M.B.A., M.Ed (Colorado)  
Thomas A. Kirk, Jr., Ph.D. (Connecticut)  
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Stephenie W. Colston, M.A. (Florida)  
Onaje M. Salim, L.P.C., M.A.C., C.C.S. (Georgia)  
Mr. Keith Y. Yamamoto (Hawaii)  
Ms. Bethany Gadzinski (Idaho)  
Theodora Binion-Taylor, Th.D, M.Div, C.A.D.C. (Illinois)  
Mr. John Viernes, Jr. (Indiana)  
Kathy Stone, M.B.A., L.M.S.W. (Iowa)  
David A. Dickinson, M.A. (Kansas)  
Donna J. Hillman, M.Ed., N.C.C., L.P.C.C. (Kentucky)  
Michael Duffy, B.S.N., R.N., C.D. (Louisiana)  
Mr. Guy Cousins (Maine)  
Peter F. Luongo, Ph.D (Maryland)  
Mr. Michael Botticelli (Massachusetts)  
Donald L. Allen, Jr., J.D. (Michigan)  
Ms. Carol Falkowski (Minnesota)  
Mr. Herbert L. Loving (Mississippi)  
Mr. Mark G. Stringer (Missouri)  
Ms. Joan Cassidy (Montana)  
Scot Adams, Ph.D. (Nebraska)  
Deborah A. McBride, M.B.A. (Nevada)  
Mr. Joseph P. Harding (New Hampshire)  
Raquel Mazon-Jeffers, M.P.H. (New Jersey)  
Linda Roebuck, M.S.S.W. (New Mexico)  
Ms. Karen Carpenter-Palumbo (New York)  
Flo Stein, M.P.H. (North Carolina)  
JoAnne Hoesel, M.A. (North Dakota)  
Ms. Angela L. Cornelius (Ohio)  
Terri White, M.S.W. (Oklahoma)  
Robert E. Nikkel, M.S.W. (Oregon)  
Ms. Robin L. Rothermel (Pennsylvania)  
Mr. Charles Williams (Rhode Island)  
W. Lee Catoe, M.Ed. (South Carolina)  
Gilbert Sudbeck, M.S.W. (South Dakota)  
Virginia Trotter Betts, M.S.N., J.D., R.N., F.A.A.N. (Tennessee)  
Mr. Thomas F. Best (Texas)  
Mark I. Payne, L.C.S.W. (Utah)  
Ms. Barbara A. Cimaglio (Vermont)

Addressees (continued)

Mr. Kenneth Batten (Virginia)

Mr. Doug Allen (Washington)

Steve S. Mason, A.C.S.W. (West Virginia)

John Easterday, Ph.D. (Wisconsin)

Roger McDaniel, J.D., M.Div. (Wyoming)

Ms. Tori Fernandez Whitney (District of Columbia)

Jose Galarza-Arbona, M.D., M.P.H. (Commonwealth of Puerto Rico)

Mr. Ray Fonesca (United States Virgin Islands)

Mr. Floyd P. Jourdain, Jr. (Red Lake Band of the Chippewa Indians)(MN)

I'aulualo Faafetai Talia (American Samoa)

Vita A. Skilling, D.C.H.M.S., Dp.C.H. (Federated States of Micronesia)

Andrea M. Leitheiser, Ph.D. (Guam)

Jefferson B. Barton, M.A. (Republic of the Marshall Islands)

Joseph Kevin Villagomez, M.A. (Commonwealth of the Northern Marianas Islands)

Vincent M. Yano, M.D.(Republic of Palau)